Deep Learning for Language, Part 1: Recurrent Neural Networks

Robin Jia USC CSCI 467, Fall 2023 October 3, 2023

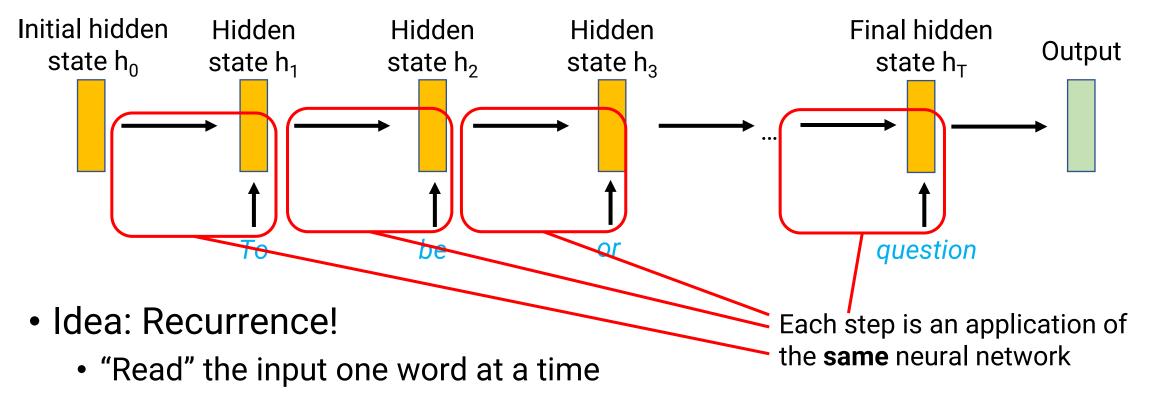
Outline

- Recurrent Neural Networks (RNNs) for sequential data
- Language modeling and Long-range dependencies
- Vanishing gradients and Gated RNNs

Handling textual data

- Images: We assume inputs are fixed dimensional
 - Can crop/rescale as needed
- Text: Inputs are naturally variable-sized!
 - Example 1: Amazing!
 - Example 2: There are many issues with this movie, such as...
- Challenge: How can we use the **same** set of model parameters to handle inputs of any size?

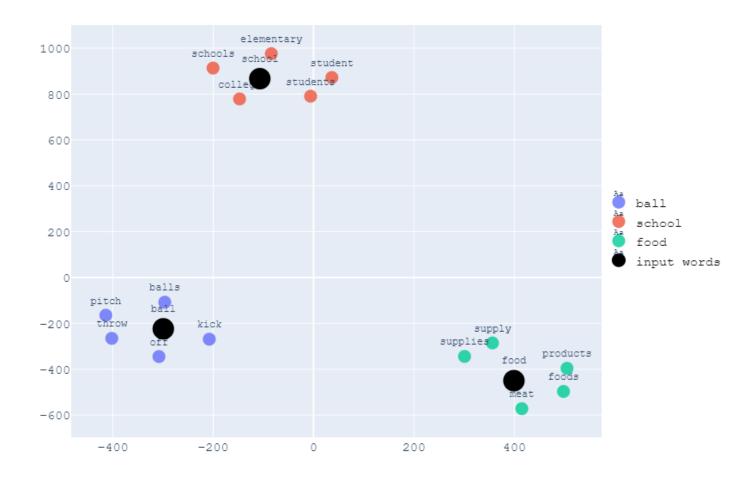
Recurrent Neural Networks (RNNs)



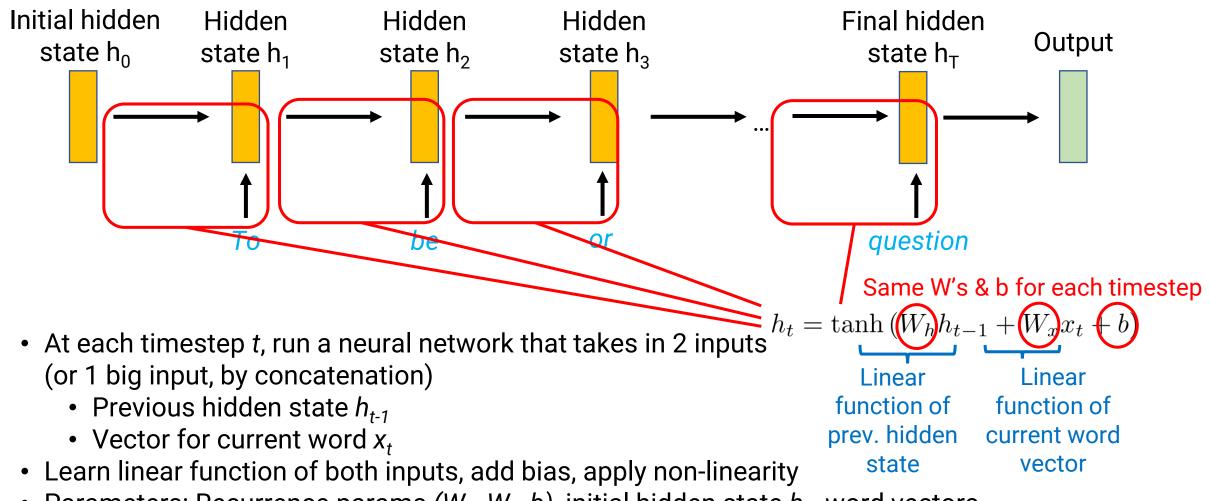
- At each step, update the hidden state of the network
- Model parameters to do this update are same for each step

Word Embeddings

- How do we "feed" the next word to the RNN?
- Want to learn a vector that represents each word
 - For each word w in vocabulary V, have vector v_w of size d
 - |V| * d parameters needed
- Intuition: Similar words get similar vectors
 - More on learning word vectors later in the class!

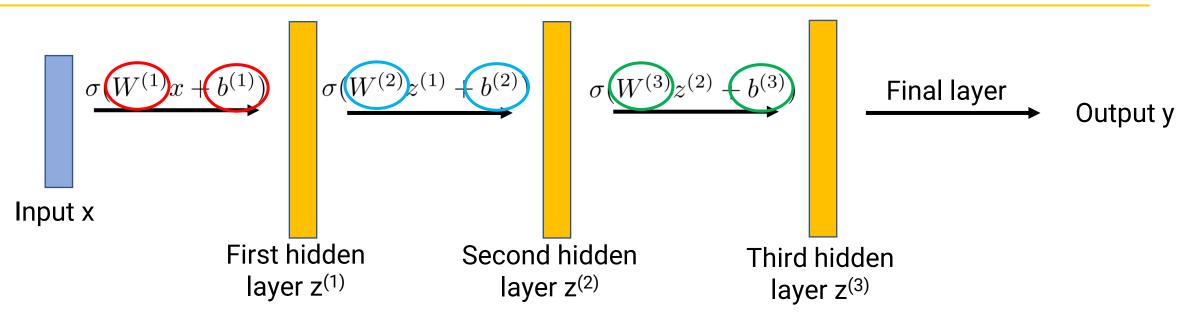


A "Vanilla"/"Elman" RNN



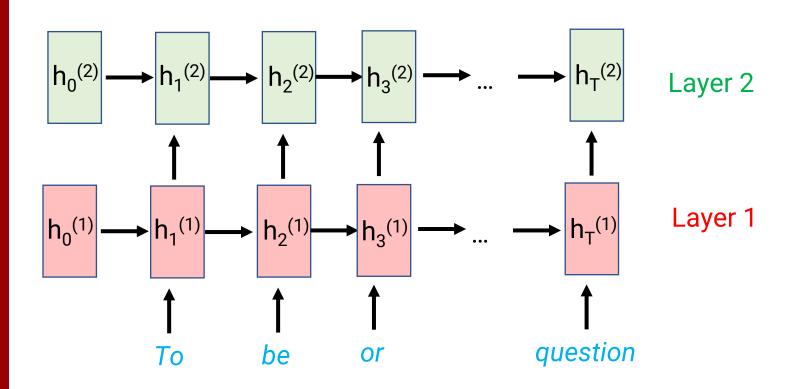
• Parameters: Recurrence params (W_h, W_x, b) , initial hidden state h_0 , word vectors

Recurrence vs. Depth



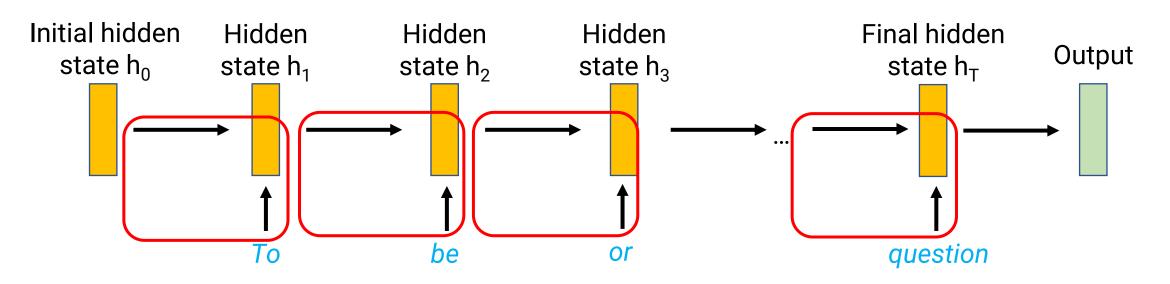
- Deep networks (i.e., adding more layers)
 - Computation graph becomes longer
 - Number of parameters also grows; each step uses new parameters
- Recurrent neural networks
 - Computation graph becomes longer
 - Number of parameters **fixed**; each step uses **same parameters**

Recurrence and Depth



- You can have multiple layers of recurrence too!
 - Left-to-right axis ("time dimension"): Length is size of input, same parameters in each step
 - Top-to-bottom axis ("depth dimension"): Length is depth of network, different parameters in each row

Training an RNN

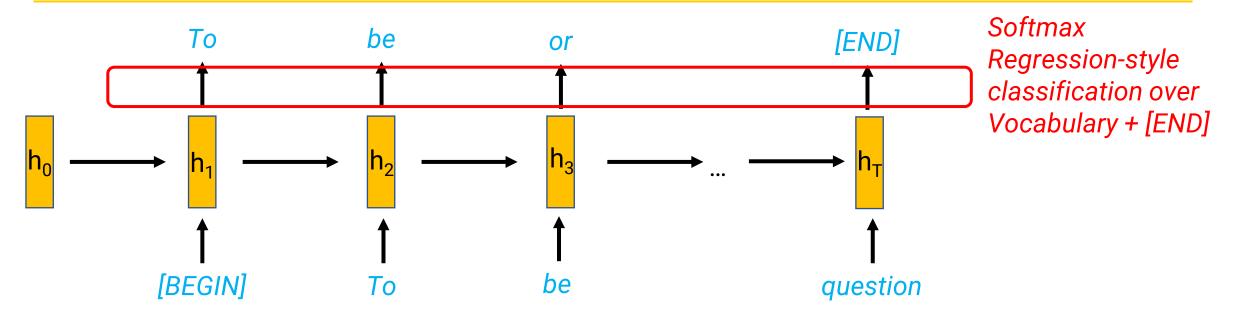


- Same recipe: Backpropagation to compute gradients + gradient descent
- Must backpropagate through whole computation graph
 - "Backpropagation through time"
 - Same weights for recurrence used at every time step; total change to weights is added up for each timestep

Outline

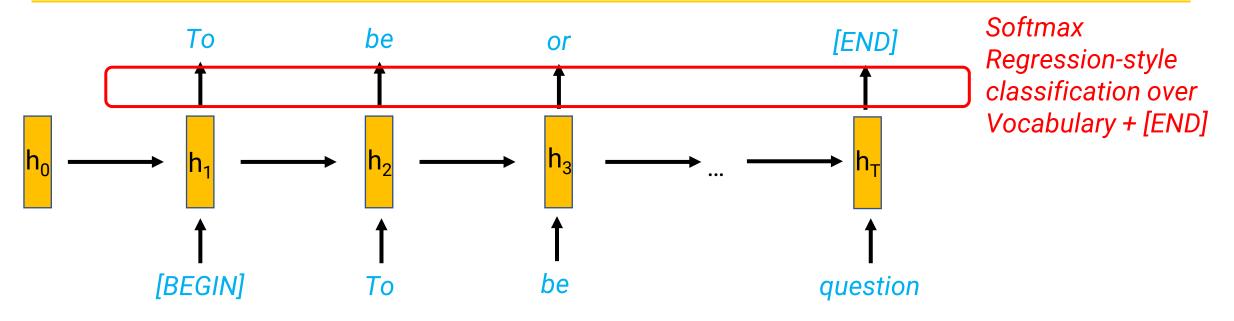
- Recurrent Neural Networks (RNNs) for sequential data
- Language modeling and Long-range dependencies
- Vanishing gradients and Gated RNNs

Language Modeling ("Decoder only")



- At each step, predict the next word given current hidden state
 - Essentially a softmax regression "head"—takes in hidden state, outputs distribution over Vocabulary + [END]
- Start with special [BEGIN] token (so the first word model generates is first real word)
- One step's output becomes next step's input ("autoregressive")
- To mark end of sequence, model should predict the [END] token
- Called a "Decoder" because it looks at the hidden state and "decodes" the next word

Language Modeling ("Decoder only")



- Training a language model
 - Input sequence is a real human-written document
 - For each word, compute classification loss (like softmax regression) for model, using the actual humanwritten next word as the correct "label"
 - Sum up loss for whole document, then backpropagate & update parameters with gradient descent

- Every step, you update the hidden state with the current word
- Over time, information from many words ago can easily get lost!
- This means RNNs can struggle to model long-range dependencies

The keys to the cabinet ____ (on the table) plural singular

- Every step, you update the hidden state with the current word
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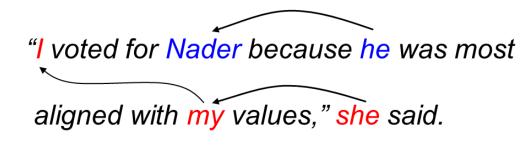
The keys to the cabinet are (on the table) plural singular

- Every step, you update the hidden state with the current word
- Over time, information from many words ago can easily get lost!
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The keys to the cabinet by the door are (on the table)

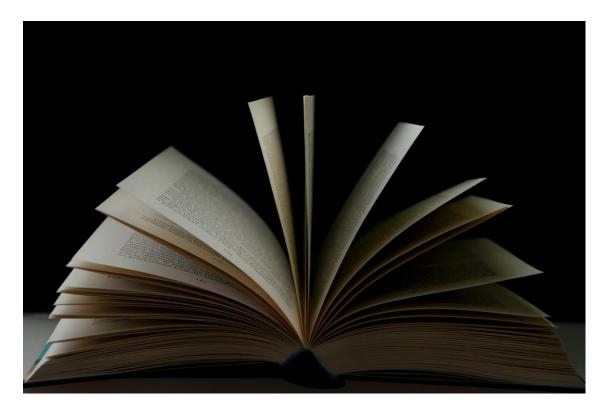
- Every step, you update the hidden state with the current word
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The keys to the cabinet by the door on the left are (on the table)



- "Coreference": When two words refer to the same underlying person/place/thing
 - Pronouns typically corefer to an antecedent (something mentioned earlier in the text)
- Coreference relationships can even span multiple sentences

Even longer-range dependencies



- Imagine trying to generate a novel...
 - Same set of characters
 - Characters have to behave in consistent ways
 - Sensible ordering of events

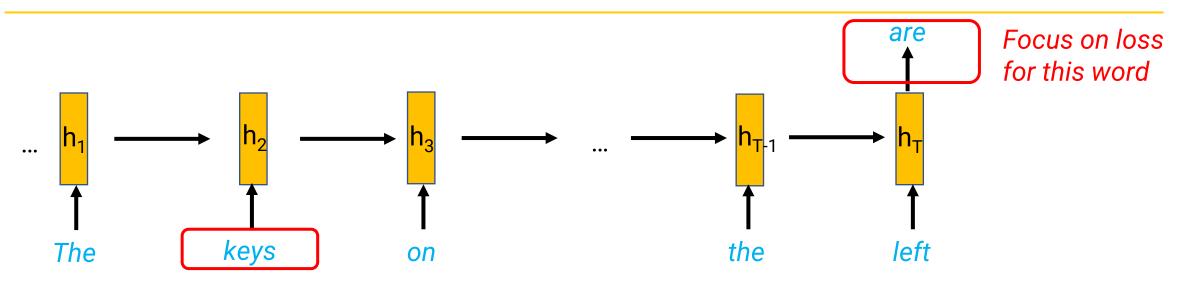
Announcements

- HW2 due this Thursday
- Thursday class: A bit more on RNNs + first half review
- Section Friday: Midterm Review (practice exam + questions)
- Midterm exam next Tuesday, October 10
 - In-class, 80 minutes, one double-sided 8.5x11 sheet of notes
 - Practice exam posted
 - Room assignments (also on Piazza)
 - Last name A-O: LVL 17 (this room)
 - Last name P-Z: THH 116

Outline

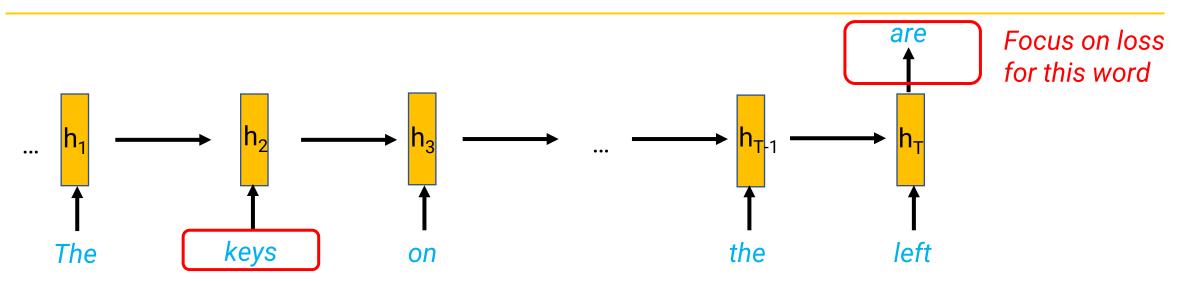
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Backpropagation through time, revisited



- Model needs to know that the correct word is are because of the word keys!
- Let's backpropagate the loss on generating are to the word vector parameters for keys
 - For simplicity, let's assume all the hidden states are just 1-dimensional
 - Step 1: Compute $\delta Loss/\delta(h_T)$
 - Step 2: Compute $\delta Loss/\delta(h_{T-1}) = \delta Loss/\delta(h_T) * \delta(h_T)/\delta(h_{T-1})$
 - Step 3: Compute $\delta Loss/\delta(h_{T-2}) = \delta Loss/\delta(h_T) * \delta(h_T)/\delta(h_{T-1}) * \delta(h_{T-1})/\delta(h_{T-2})$
 - ..
 - Gradient through "keys" hidden state: $\delta Loss/\delta(h_T) * \delta(h_T)/\delta(h_{T-1}) * \delta(h_{T-1})/\delta(h_{T-2}) * ... * \delta(h_3)/\delta(h_2)$
 - Gradient through "keys" word vector: $\delta Loss/\delta(h_T) * \delta(h_T)/\delta(h_{T-1}) * \delta(h_{T-1})/\delta(h_{T-2}) * ... * \delta(h_3)/\delta(h_2) * \delta(h_2)/\delta(x_2)$

The Vanishing Gradient Problem



- Gradient through "keys" word vector: $\delta Loss/\delta(h_T) * \delta(h_T)/\delta(h_{T-1}) * \delta(h_{T-1})/\delta(h_{T-2}) * ... *$ $\delta(h_3)/\delta(h_2) * \delta(h_2)/\delta(x_2)$
 - What is each individual $\delta(h_t)/\delta(h_{t-1})$ term ?
 - Elman network: $h_t = \tanh(W_h h_{t-1} + W_x x_t + b)$, $\frac{\delta h_t}{\delta h_{t-1}} = \tanh'(W_h h_{t-1} + W_x x_t + b) \cdot W_h$
 - After *t* timesteps, have a factor of $(W_h)^t$ (to the *t*-th power)!
 - If W_h << 1, this quickly becomes 0 ("vanishes")

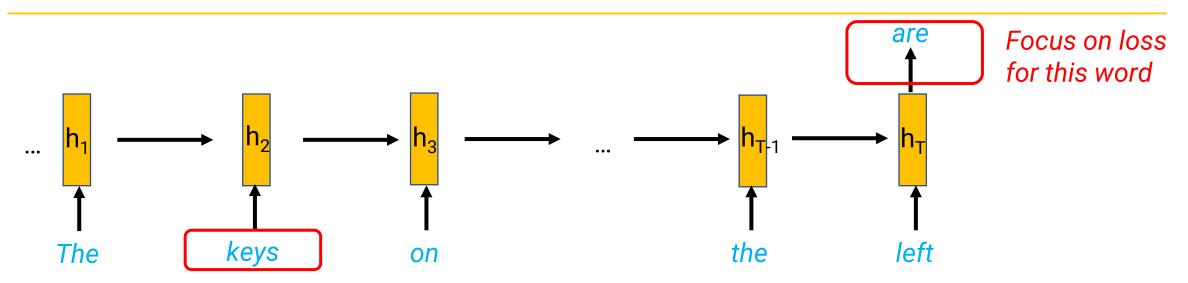
over and over!

The same

parameter

Ignore for now

The Vanishing Gradient Problem

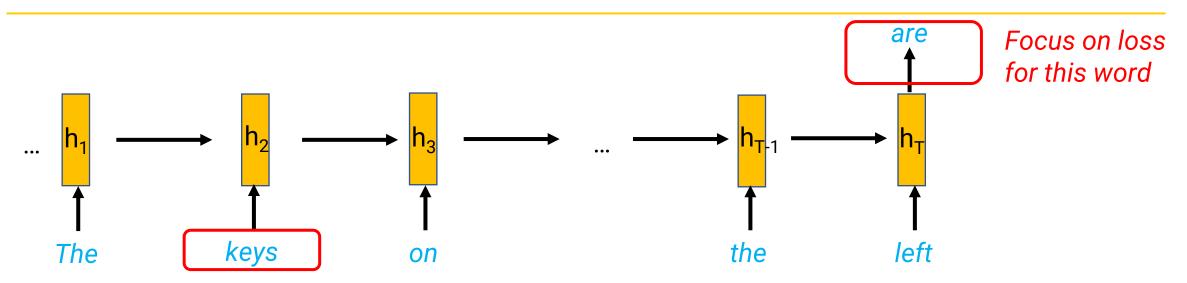


- Vanishing Gradients: Updates to one word/hidden state not influenced by loss on words many steps in the future
 - Illustrated only for 1-dimensional hidden states, but same thing happens when states are vectors/parameters are matrices
- Result: Hard for model to learn long-range dependencies!

Vanishing and Exploding

- Vanishing gradient occurs because
 - Gradient w.r.t. words t steps in the past has $(W_h)^t$
 - And when $W_h << 1$ (e.g., at initialization time)
- What if *W_h* > 1?
 - Gradients get bigger as you go backwards in time: Exploding gradients!
 - Vanishing gradients more usual, but explosion can happen too
- Quick fix: Gradient Clipping
 - If gradient is super large, "clip" it to some maximum amount
 - Rescale the total vector to some maximum norm
 - Clip each entry to be within some minimum/maximum value
- Outside of RNNs, vanishing/exploding gradients can happen whenever you have long computation graphs with lots of multiplications

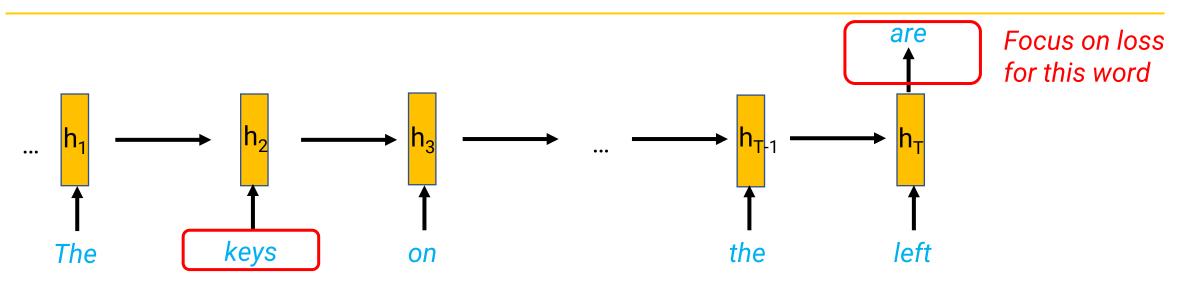
Avoiding Vanishing Gradients



• Where did we go wrong?

$$h_{t} = \tanh \left(W_{h}h_{t-1} + W_{x}x_{t} + b \right), \quad \frac{\delta h_{t}}{\delta h_{t-1}} = \tanh' \left(W_{h}h_{t-1} + W_{x}x_{t} + b \right) \cdot W_{h}$$
Multiplicative
Leads to repeated
multiplication by W_{h}

Avoiding Vanishing Gradients



- Extreme idea: A purely additive relationship
 - Pro: No vanishing gradients
 - Pro: Old hidden state carried through to all future times
 - Con: May be good to "forget" irrelevant information about old states

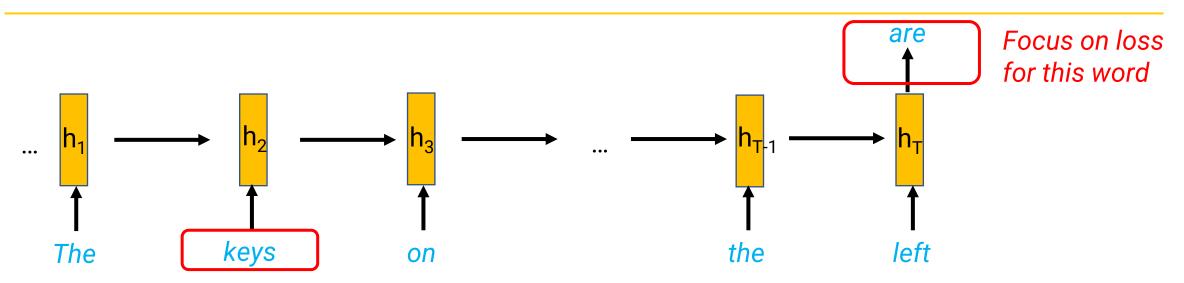
$$h_t = h_{t-1} + g(h_{t-1}, x_t),$$

Additive
relationship

 $\frac{\delta h_t}{\delta h_{t-1}} = 1 + \frac{\delta}{\delta h_{t-1}} g(h_{t-1}, x_t)$

Gradients also add, not multiply

Avoiding Vanishing Gradients



- Middle-ground: **Gated** recurrence relationship
 - Additive component makes gradients add, not multiply = less vanishing gradients
 - Forget gate allows for selectively "forgetting" some neurons within hidden state
 - When forget gate is all 1's, becomes the purely additive model (no vanishing)

Elementwise multiplication $h_t = h_{t-1} \odot f(h_{t-1}, x_t) + g(h_{t-1}, x_t)$ "forget gate" Additive in [0, 1] relationship

Gated Recurrent Units (GRUs)

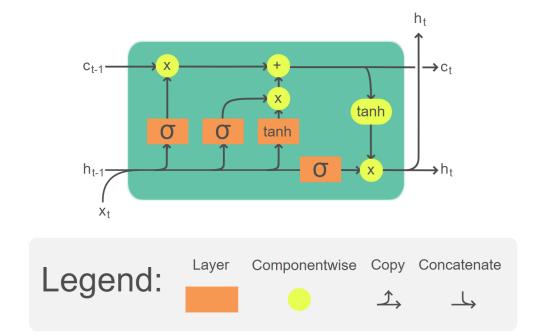
- One type of gated RNN
 - Here z is the "forget gate" vector
 - Where *z_i* = 0:
 - Forget this neuron
 - Allow updating its value
 - Where *z_i* = 1:
 - Don't forget this neuron
 - Do not allow updating its value
- Parameters: *W*, *U*, plus parameters of *g*
 - (g has a slightly complicated form not shown, has its own parameters)

"forget gate" $h_{t} = h_{t-1} \odot z + g(x_{t}, h_{t-1}) \odot (1 - z)$ $z = \sigma(Wx_{t} + Uh_{t-1})$ Sigmoid ensures gate is

between 0 and 1

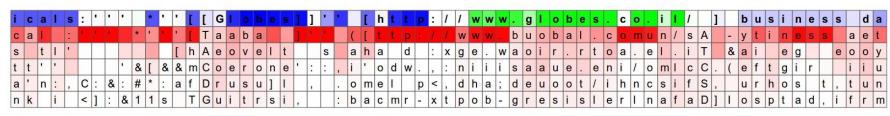
Long Short-Term Memory (LSTM)

- Another, more complicated gated RNN
- Commonly used in practice
- What's the idea?
 - Split the hidden state into normal hidden state *h*_t and "cell" state *c*_t
 - Cell state uses gated recurrence
 - Hidden state is gated function of cell state



What do LSTMs learn?

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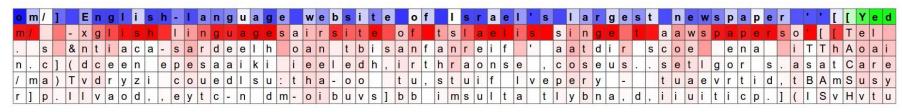
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- Here: a character-level LSTM (not word-level)
- Blue/Green: Low/high values of 1 neuron
- Below: Top-5 predictions for next character
- This neuron seems to detect whether we're inside a URL

What do LSTMs learn?

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- Here: a characterlevel LSTM (not word-level)
- Blue/Green: Low/high values of 1 neuron
- Below: Top-5 predictions for next character
- This neuron fires only inside a Markdown [[link]] (so it knows when to close the square brackets?)

Conclusion

- Deep Learning for Language must deal with possibly long inputs
- RNNs handle arbitrarily long inputs with fixed number of parameters
- Need to handle long-range dependencies, but hard to learn due to vanishing gradients
- Gated RNNs (GRUs, LSTMs) can reduce vanishing gradient problems